

69-876-9596
74-222
E. R. # 74-3048/2

2 APR 1974

MEMORANDUM FOR: Director of Central Intelligence

VIA : Deputy Director for Management and Services

FROM : Director of Security

SUBJECT : Polygraph, Psychological Stress Evaluation
and Telephone Monitors

1. Action Requested. It is requested that you approve the responses to the General Accounting Office (GAO) questionnaire and sign the memorandum addressed to Mr. Forrest R. Browne, General Accounting Office.

2. Basic Data:

a. You requested the Director of Security to amend some of our proposed responses to the GAO questionnaire. Specifically, you directed that (1) we amend questions 8, 9 and 16 of the polygraph questionnaire; (2) add a sentence to the heading of Part B indicating that the answers do not relate to our foreign intelligence activities; (3) amend paragraphs 3 and 4 of Part B by eliminating reference to beepers; and (4) amend paragraph 8, Part B, which indicates that employees are prohibited from installing a telephone monitoring device by adding the phrase "without authorization." These changes were made. Mr. [REDACTED] Office of Legislative Counsel, then discussed these changes with the Deputy Director for Management and Services and other senior Agency officials resulting in further amendments.

b. In our new responses to the questionnaire, we have deleted the sentence in the heading of Part B which stated that the answers do not relate to our foreign intelligence activities since a similar disclaimer is contained in the memorandum to the General

STA

Accounting Office. Repeating it in Part B would lead to additional inquiry by the congressional committee. We have also amended the responses in paragraphs 3 and 4, Part B, to indicate six of eight beepers on our recorders have been disconnected and other types of telephone monitoring devices have not been authorized. We have also changed paragraph 6, Part B, to reflect that non-telephonic "bugging devices" are utilized for polygraph supervision and for the preparation of accurate records.

c. In addition to the polygraph interview rooms, the Office of Security has three other rooms covered by tape recorders. We do not know how many other Agency rooms are so equipped.

AT 3. Staff Position: The Director of Security, Mr. [redacted], Office of Legislative Counsel, the Deputy General Counsel and the Deputy Director of Communications have concurred in the amended responses.

4. Recommendation: In view of the above, it is recommended that you approve the amended responses to the GAO questionnaire and sign the memorandum addressed to Mr. Forrest A. Browne, General Accounting Office.

[redacted]
Charles W. Kane
Director of Security

Attachments

STA

**SUBJECT: Polygraph, Psychological Stress Evaluation
and Telephone Monitors**

CONCURRENCES:

/s/ Harold L. Brownman
Harold L. Brownman
Deputy Director
for Management and Services

8 APR 1974
Date

S/
George L. Cary
Legislative Counsel

8 APR 1974
Date

S/ John S. Warner
John S. Warner
General Counsel

4 APR 1974
Date

Approved: /s/ W.E. Colby 17 Apr '74

Disapproved: _____

Distribution:

- Orig - Return to OS via DD/MES
- 1 - DD/CI
- 1 - HE
- 2 - DD/MES
- 1 - General Counsel
- 1 - Legislative Counsel

ROUTING AND RECORD SHEET

647-0510

SUBJECT: (Optional)

Polygraph, Psychological Stress Evaluation
and Telephone Monitors

DD/M&S Registry

FROM:

Charles W. Kane
Director of Security

EXTENSION

NO.

SECURITY 5

DATE

2 APR 1974

25X

TO: (Officer designation, room number, and building)

DATE

OFFICER'S INITIALS

COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)

RECEIVED

FORWARDED

1.

DD/M&S

8 APR 1974

Lib

Call OLC when signed

2.

3.

DCI

4/9 4/17

wey
b/p

NOTE: Reviewed by Mr. Duckett
who feels the paper is fine as is.

4.

OLC

4/17/74

JON

(R) EXO/STT
17 April 74

5.

DD/M&S

4/11/74

DJ

The DD/M&S and the ADD/M&S
were briefed 4/19/74.

6.

7.

Return to OS (4E-60)

8.

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12.

13.

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15.

TAB

CENTRAL INTELLIGENCE AGENCY

WASHINGTON, D.C. 20505

17 APR. 1974

Mr. Forrest R. Browne
Director, Federal Personnel and
Compensation Division
United States General Accounting Office
Washington, D. C. 20548

Dear Mr. Browne:

Your letter of 5 October 1973 requests information on the use of polygraphs and psychological stress evaluators and of telephone monitoring and other surveillance practices of the Central Intelligence Agency to update previous Foreign Operations and Government Information Subcommittee, House Government Operations Committee, investigations in this field. Response to the questions set forth in the enclosure are keyed to the numbered paragraphs of the questionnaire forwarded with your letter.

In keeping with the responsibility for protecting intelligence sources and methods, 50 U.S.C. 403(d)(3), information concerning Agency activities in the conduct of foreign intelligence operations is not reported.

Sincerely,

/s/ W.E. Colby

W. E. Colby
Director

2 Encls

SUBJECT: Polygraph, Psychological Stress Evaluation
and Telephone Monitors

ORIGINATOR:



Charles W. Kane
Director of Security

2 APR 1974

Date

Distribution:

Orig - Adse
1 - Signing Officer
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1 - ER
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1 - General Counsel
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Part A - Answers to Questionnaire on Polygraphs and Psychological Stress Evaluators

1. This Agency possesses and makes use of polygraphs. It does not possess and does not use psychological stress evaluators.¹ Direction of the polygraph program is the responsibility of the Director of Security.

2. See final paragraph

(a) See final paragraph

(b) All examiners perform first echelon maintenance on all the instruments as they use them. One examiner performs more sophisticated maintenance and repairs as his other duties permit. Very infrequently an instrument is returned to the manufacturer for extensive repair or replacement of major components. The annual budget for maintenance and repair is now set at \$650.00. Annual resupply costs are about \$325.00.

(c) Not applicable

(d) The FY 1973 cost for polygraph training, research, and travel was \$51,000.

(e) No

3. See attached

4. The polygraph is used in concert with investigation regarding personnel for employment, assignment, or association with the Agency.

(a) Applicants are afforded polygraph examinations as a part of security screening procedures. They are asked questions concerning possible membership in the Communist Party, past or present Communist sympathies or associations, past or present foreign connections, falsification of their personal history statement, and a category of questions dealing with blackmail potential. (See attachment.)

(b) Yes

(c) The results of polygraph interviews or the refusal to take such tests are considered along with all other information developed in the processing of the individual case.

(d) The Director of Central Intelligence established the policy that all applicants will be afforded a polygraph interview as a part of the security clearance process in support of his statutory responsibility to protect intelligence sources and methods.

(e) Yes

¹It is presumed that "psychological stress evaluator" refers to the Dektor Psychological Stress Evaluator which is a voice analysis device.

(f) The data derived from polygraph interviews are maintained in special security files apart from the regular security files, and under no circumstances made a part of or referred to in any way in the personnel files or any computerized information system data bank.

(g) The findings or conclusions are not made available to the individuals.

(h) Adverse personnel actions are subject to appeal.

(i) Access to all polygraph information is severely restricted to senior officials with action responsibility.

(j) No

(k) Yes. They are designed to enable an interview to be conducted in privacy without outside interference. Provisions are made for adequate lighting and ventilation and special extra-comfortable chairs are provided. There are no two-way mirrors. To permit supervision and the preparation of accurate records, a system for monitoring and recording the audible portion of the interview is built into each room. Upon inquiry, an individual being tested is told whether the interview is being monitored or recorded.

(l) See final paragraph

(m) None

5. See final paragraph

(a) All who are authorized to conduct polygraph tests do so as a full-time job.

(b) Qualifications for candidates:

(1) College degree

(2) Oral fluency in one or more foreign languages or marked aptitude for language training

(3) Physically and psychologically qualified to meet Agency requirements

(4) Preferably of a maturity consistent with about 30 years of age

(5) Preferably work experience in such closely related fields as investigations, police administration, psychology, political science, and physiology.

(c) The Central Intelligence Agency, under its centrally controlled program, trains its own polygraph examiners. The training course covers physiology, psychology, interviewing and interrogation, chart interpretation, test construction, instrument maintenance and repair, and professional ethics. After an average of 6 to 7 weeks of such training, the trainee is assigned cases of gradually increasing complexity under the guidance and monitoring

of senior examiners. The point at which training is completed varies with individual capacities but averages 6 to 8 months. A research officer is specifically tasked with keeping up with research in the field and assuring that working examiners are kept abreast of the current state-of-the-art in interrogation and instrumentation.

(d) No

The numbers, job assignments, and duties of employees of the Central Intelligence Agency are classified information. In keeping therewith, answers to questions 2, 2(a), 4(1), and 5 have not been provided herein.

21 February 1970

MEMORANDUM FOR: Director of Security

SUBJECT : Polygraph Program

1. The use of the polygraph by the Central Intelligence Agency shall be governed by the provisions of this memorandum. You are hereby directed to take the necessary action to insure implementation.

2. The Director of Security shall be responsible for the supervision and training of polygraph examiners and for the conduct of the polygraph program of the Central Intelligence Agency. He shall insure that the highest standards of operating procedures and equipment capability are established and maintained.

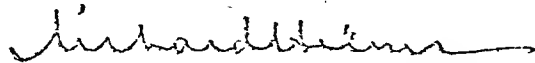
3. The polygraph will be used in the Central Intelligence Agency as an aid to investigation for determining the security eligibility of persons for employment by or assignment to the Agency; security clearance by the Agency; staff-like access to sensitive Agency installations; utilization in operational situations; or continued access to classified information where implications of a security nature or investigative information require clarifying security interviews.

4. The polygraph will not be used in the Central Intelligence Agency on official or administrative matters involving possible malfeasance, or for the sole purpose of determining violations of the criminal laws of any country.

5. If a polygraph examination involves a question pertaining to the violation of a criminal law, the individual shall be informed of his privilege against self-incrimination and his right to consult with legal counsel or other professional assistance prior to the examination. Notification of such rights will be formally recorded.

6. The Director of Security shall establish adequate safeguards designed to prevent unwarranted invasion of privacy. Each applicant for employment will be notified, at the time he is given application forms, of the intent to use a polygraph examination in the course of his employment processing. Before a person undergoes a polygraph examination, his consent should be obtained in writing. He should be told, before testing, the general content of all questions which will be asked. He will also be briefed on testing procedures. All questions must have specific relevance to the person being polygraphed and to the purpose of that particular test. Upon inquiry, he should be told if the polygraph examination is being monitored or recorded.

7. The Director of Security shall maintain separate files for information obtained during polygraph examinations. He shall release such information only to appropriate Agency officials when it has a direct bearing on a decision to be made by that official. He shall release polygraph acquired information outside the Agency only after he has made a determination, which is concurred in by the Director or Deputy Director of Central Intelligence, that such a release is necessary in the interest of national security.



Richard Helms
Director

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